

# Dr. Ram Manohar Lohia Avadh University, Ayodhya

## ORDINANCE for the Degree of Doctor of Letters (D.Litt.)/ Doctor of Science (D.Sc.)/ Doctor of Laws (LL.D.) विद्या वाचस्पति (साहित्य/विज्ञान/विधि)

This Ordinance shall be called the 'Doctor of Letters (D.Litt.)/ Doctor of Science (D.Sc.)/ Doctor of Laws (LL.D.) Ordinance 2020' and shall be in effect from the date of approval of the Executive Council. The Degree of D.Litt./D.Sc./LL.D. of Dr. Ram Manohar Lohia Avadh University shall be conferred on the candidates who fulfill the requirements as specified in this ordinance.

<b>1. ELIGIBILITY :-</b>	
1.1	A candidate shall be eligible for registration for the degree of D.Litt./D.Sc./LL.D. of Dr. Rammanohar Lohia Avadh University if he/she holds the degree of M.A./M.Ed./L.L.M./M.Sc./M.Com./M.B.A. along with the degree of Doctor of Philosophy (Ph.D.) of at least 05 years standing of this University or any other University recognized by the UGC.
1.2	In addition to 1.1, the candidate must have published either 10 papers in refereed/reputed journals of National/International recognition or 3 Reference Books of National/ International standard in the field of Arts, Education, Law, Science, Commerce, Management or allied areas of knowledge specified for the purpose.
<b>2. APPLICATION :-</b>	
2.1	<p>Candidate, who is seeking admission to D.Litt./D.Sc./LL.D. of Dr. Ram Manohar Lohia Avadh University and having eligibility as per clause 1.1 and 1.2 of the Ordinance, shall apply to Registrar on the prescribed application consisting following documents/information –</p> <ol style="list-style-type: none"><li>Applicant's Bio-data giving the details of educational qualifications, fields of specialization, research experience, and academic distinctions along with a passport size photograph.</li><li>Purpose and Objectives of the thesis.</li><li>Synopsis of the proposed work.</li><li>List of publications.</li><li>Attested copies of certificates in support of qualification and experience.</li><li>Migration – certificate (after admission, if candidate is from other University)</li><li>Recommendation/No Objection Certificate by Dean/Head/Director/Principal.</li><li>Recommendation by two Subject Experts.</li></ol> <p>Application for registration shall be submitted round the year but shall be processed after consideration of Research Degree Committee (RDC).</p>
<b>3. ADMISSION :-</b>	
3.1	<p>The application of the candidate shall be placed before the Research Degree Committee the constitution of which shall be as follows –</p> <ol style="list-style-type: none"><li>Vice-Chancellor, Chairman</li><li>Pro Vice Chancellor, Member</li></ol>

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	<p>c. Dean of the Faculty, Member</p> <p>d. Head of the Department concerned/Convenor Board of Studies, Member</p> <p>e. One senior member of the department/subject in order of rotation (for 01 year) as co-Convenor, Member</p> <p>f. Two Subject experts, Members</p> <p>g. Advisor of the candidate, Member</p> <p>h. Registrar (Academics), Secretary</p>
3.2	Before admission, the suitability of the candidate must be judged by a panel of at least three experts appointed by the RDC (or by the Vice Chancellor on the recommendation of Convenor, RDC) for the purpose that the candidate possesses requisite qualifications as mentioned in para 1.1 and 1.2 and the published work of the candidate is of sufficient merit for being accepted as an applicant and be enrolled for the D.Litt./D.Sc./LL.D. as the case be.
3.3	Candidate applying for D.Litt. /D.Sc./LL.D. can opt for self-guidance/self-supervision. However, the power of approval for this option will remain with the RDC.
3.4	The RDC shall satisfy itself that the purpose of research/subject offered is one which is of national and international importance in the respective field of subject/knowledge and can be effectively pursued under the self-supervision of the candidate or under the supervision of a Research Advisor appointed by RDC and that the candidate possesses the requisite qualifications and acumen for advanced research in the area of study. If the RDC is satisfied on these points, it shall approve the research topic.
3.5	While opting for the topic the candidate, depending upon the interdependence, broadness and proximity of the subject, may choose an area which by nature is inter-disciplinary. In such cases the RDC may permit a co-advisor along with the advisor of principal subject.
3.6	In case the RDC accepts the application of the candidate and the candidate has not requested for the self-supervision, the RDC shall appoint from amongst the teachers of the university an Advisor to advise the candidate on his topic of research.
3.7	The candidate for the D.Sc. Degree shall put in at least six months work in the Science Laboratory where experimental work is needed-  Provided that in the case of teachers of the university having experience of post-graduate teaching for at least ten years and successfully guiding research work, the RDC may waive the need for an advisor. The thesis of such candidate shall, however, consist mainly of published work on the subject approved for the research.
3.8	The Registrar (Academic) shall Issue the <b>Letter of Admission</b> to the candidate recommended by RDC.
3.9	Within 45 days after the issue of the <b>Letter of Admission</b> , the candidate shall pay the prescribed Registration Fees of Rs. 10,000/- which may be changed time to time after the approval of Finance Committee.
3.10	Candidate enrolled/registered for D.Litt./D.Sc./LL.D. must reside at the university for at least one academic year after admission and before submission of the thesis.
<b>4. SUBMISSION OF THE THESIS :-</b>	
4.1	A candidate, admitted to D.Litt./D.Sc./LL.D. in accordance with clause-3 of this ordinance, shall deliver a pre-submission seminar in the Department/Institute/University before the submission of the thesis, which shall be arranged by the Advisor of the candidate or Convenor RDC to apprise the teachers and other research workers of the Department/Institute/University of his/her work.

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4.2	<p>a. A panel comprising Head of Department/Dean/Director/Convenor RDC, Advisor, Co-advisor (if any) and/or any of the senior faculty member as nominated by the Vice Chancellor shall judge the performance of candidate in the pre-submission seminar and submit a report to Registrar (Academics) stating whether candidate's thesis can be considered for final submission or panel has suggested for another pre-submission seminar.</p> <p>b. The panel cited in clause 4.2(a) shall also approve/suggest the title of the thesis (as proposed by the candidate or panel herein) during pre-submission seminar and mention about approval of thesis title in the report submitted to the Registrar (Academics).</p>
4.3	After being declared successful in pre-submission seminar by the panel as per clause 4.2(a), the candidate shall submit his thesis with approved title for evaluation.
4.4	The candidate shall not be allowed to submit the thesis for evaluation earlier than 3 years from the date of registration and not later than 6 years. Further extension of one year may be granted by the Vice Chancellor on the recommendation of RDC.
4.5	On a report from the adviser or the candidate that the thesis of the candidate is likely to be submitted within six months, the RDC shall recommend a panel of 06 to 10 examiners (all Professors or persons of eminence in the subject concerned), of which at least four from the other States of India or foreign countries. Out of these, the Vice Chancellor shall appoint 03 examiners for the evaluation of thesis. As Chairperson of RDC, Vice-Chancellor may add or delete any name of the examiners, if necessary.
4.6	<p>The candidate shall submit the thesis as per the following guidelines –</p> <p>a. Five copies of the thesis in hardbound form in the format mentioned below –</p> <ol style="list-style-type: none"> <li>i. Cover page/Title page which shall consist a statement that the thesis has been submitted for the award of the concerned degree for which the candidate has been admitted.</li> <li>ii. Inner cover page with the similar content as mentioned in 4.6(a)(i).</li> <li>iii. Declaration of the candidate to the effect that the work has not been submitted for any other degree or diploma.</li> <li>iv. Certificate from the Advisor (if appointed by RDC)</li> <li>v. Certificate of the Head of the concerned Department/Dean/Director/Principal.</li> <li>vi. Contents</li> <li>vii. An extended Abstract/Synopsis, describing the research work carried out during the stipulated period, explaining how far the work is original and the future scope of the work.</li> <li>viii. Reprints of the published work by the candidate during the period of research for concerned degree.</li> <li>ix. List of publications.</li> <li>x. A one-page personal profile of the candidate along with photograph.</li> </ol> <p>b. A soft copy of the Thesis.</p> <p>c. The thesis shall be either in English or in Hindi except for the case where the subject of the thesis itself is a language. In such a case, the thesis may, at the option of the candidate, be in that specific language.</p>
4.7	The thesis submitted by the candidate must be entirely his own work and be original contribution to the field of knowledge characterized either by discovery of new facts and their significance or by a new interpretation of facts or theories and in either case, it should evince the capacity of the candidate for

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	critical examination and sound judgment. It shall also be satisfactory so far as its literary presentation is concerned, and must be suitable for publication.
4.8	The candidate may incorporate in his thesis the contents of any work have published on the subject, but he shall not submit as his thesis any work or the substantial portion of any work, for which a Degree has already been conferred in this or any other university. The candidate may also submit as subsidiary matter any printed contributions to the advancement of his subject.
4.9	The thesis submitted for D.Lit./D.Sc./LL.D. shall be totally governed by the provisions of UGC regulation of promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institution 2018. The candidate and supervisor (if applicable) will have to provide undertaking to this effect. The Degree after being awarded will be uploaded on Shodh Ganga.
	The candidate has to deposit Examination/Evaluation fees of Rs. 10,000/- at the time of submission of the thesis. This amount may be changed time to time after the approval of Finance Committee.
<b>5. EXAMINATION/EVALUATION OF THE THESIS :-</b>	
5.1	Separate copies of the thesis shall be sent to 03 examiners, appointed by the Vice Chancellor amongst the panel of examiners, for final evaluation.
5.2	The Registrar (Academics) shall contact examiner through secured e-mail/post and request him to send his/her consent for the examinership. Thereafter a soft/hard copy of the thesis shall be sent to examiner for evaluation. If however, no information is received from an examiner about examinership acceptance with a period of two months (even after sending two reminders), his/her appointment shall be cancelled and a new examiner shall be appointed from the panel suggested by RDC.
5.3	The examiners shall examine the thesis and other published work of the candidate and shall submit their individual reports within two months of the receipt of the thesis. If an examiner does not send his/her report within two months, reminders shall be sent to him after every 15 days.
5.4	If an examiner does not send report of the thesis within 4 months, his examinership shall be cancelled and a new examiner shall be appointed from the panel of examiners.
5.5	The examiners, in his report, shall give a clear recommendation on either <ul style="list-style-type: none"> <li>a. the thesis be accepted for the award of D.Litt./D.Sc./LL.D. Degree (a set of questions shall be attached with the report for the candidate to defend his work during viva).</li> <li>b. the thesis be rejected (the ground for rejection shall be clearly mentioned).</li> <li>c. the candidate be allowed to re-submit his thesis in revised form within one year (after receiving letter of this reference from the Registrar). In this case the examiner shall mention his suggestions for the improvement of thesis content.</li> </ul>
5.6	If the thesis is recommended for revision, a fresh set of 03 examiners shall be appointed by the Vice Chancellor either from existing panel or from a fresh panel suggested by RDC. However, the Registrar (Academics), along with revised thesis shall send a copy of the report of the examiner(s) who recommended the revision of the thesis.
5.7	The examiners who evaluate the revised thesis shall recommend only either the acceptance or the rejection of the thesis and shall not recommend any further revision of the thesis.
5.8	The report of the examiners shall be first placed before the Research Degree Committee. If any report is not in proper form and is not complete and clear, it shall be sent back to the examiner concerned to

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	remove the deficiency. If the examiners unanimously recommend that thesis be accepted, the Viva-Voce examination shall be immediately arranged.
5.9	Out of the panel of examiners approved by Vice-Chancellor, two examiners shall be appointed to conduct the viva-voce of the candidate. The Viva-Voce examiners shall satisfy themselves that <ol style="list-style-type: none"> <li>the candidate is well- acquainted with the subject of the thesis and the subject relevant thereto,</li> <li>the thesis is genuinely and entirely the work of the candidate, evinces the capacity for critical examination and sound judgment.</li> </ol>
5.10	In case the recommendation of the Viva-Voce examiners of the thesis differs from the recommendation of the examiners of the thesis, the candidate may be asked to reappear for the Viva-Voce examination within one year, except where the Viva-Voce examiners report that the thesis is not genuinely the work of the candidate, in that case the thesis shall be rejected forthwith. If the candidate fails to satisfy the Viva-Voce examiners second time, his thesis will be rejected.
5.11	A candidate shall not be allowed to present his thesis more than once or to reappear at the Viva-Voce examination more than once.
5.12	The candidate shall on publication of the thesis, state on the title page that it was a thesis approved for the D.Litt. or D.Sc. or LL.d. Degree of the Dr. Ram Manohar Lohia Avadh University Ayodhya.
5.13	Respective examiners shall be paid Rs. 2500/- for evaluating the thesis and Rs. 1500/- for examining the candidate in Viva Voce. This amount may be changed time to time on the approval of Finance Committee.
<b>6. SPECIAL CLAUSE :-</b>	
6.1	Any significantly important published work which has made an important impact in the area of conceptual thoughts/scientific acumen/literature, philosophy or theories of art which leads to a fundamental change or creates a new direction or innovation may be considered to confer upon honorary degree of D.Litt./D.Sc./LL.D. (Honoris Causa) on the recommendation of a competent authority or institution.
6.2	If such a recommendation is obtained about a renowned person falling under clause 6.1, the Vice Chancellor may nominate three experts, out of a list of panels of 6-10 experts suggested by RDC, for evaluation of his/her work and after their unanimous recommendation and approval of the Vice Chancellor, the University may confer the said degree after getting approved from competent statutory bodies.
6.3	The Executive Council may directly consider a person for conferment of honorary degree (Honoris Causa) if the person is of high eminence and is covered under clause 6.1.
6.4	Any doubt or dispute about the interpretation of these ordinances shall be referred to the Vice Chancellor whose decision, in his capacity as the Chairman, Academic Council shall be final.

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